



and static load characteristics performed by the proportional pressure relief valve V11 and the directional valve V10 simulating a typical machine operation. After collecting raw sensor data during characterization measurement, the data for each cycle are split into 13 segments (valve V10 operation, static and transient loads) extracting features such as statistical moments (median, variance, skewness, and kurtosis) and signal shape parameters (fit of slope, position of maximum value) in each segment. From the resulting pool of 1326 features the 20 most significant features were chosen using the Pearson correlation with the four simulated hydraulic faults as selection criterion (feature selection). The final step is the dimensional reduction of the feature vector using LDA, here from 20 to 2 dimensions (discriminant functions, DF), and subsequent classification using the k-nearest-neighbor algorithm.

Furthermore, the raw signals of relevant sensors used for hydraulic fault monitoring were modified by implementing typical sensor faults such as constant offset, drift, noise and signal peaks pointing to an incorrect function of sensor or electronics. Here, each sensor fault type and grade is mathematically superimposed on the complete characterization measurement. Thus, the robustness of CMS to sensor malfunctions is studied, but also the ability to detect these malfunctions using suitable sensor interdependency features, e.g. ratios and correlations.

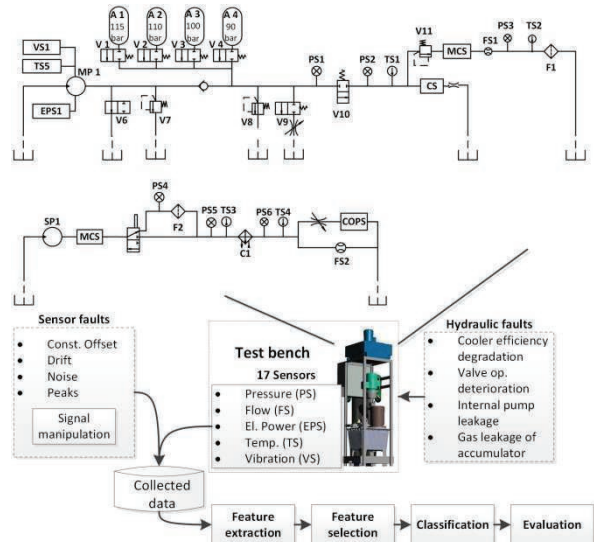


Fig. 1. Hydraulic system and concept of data analysis

## Results

As shown in fig. 2, fault-specific characteristic feature patterns can be obtained allowing the quantitative determination of fault severity grades in the hydraulic system in a two-dimensional LDA space. Here, features from several fault grades are used for calculating the LDA coefficients ("training") while data from the further grades were projected to validate the ability to interpolate states that were not explicitly considered in training and verify the performance of statistical model. Features selected from correlation criterion for cooler

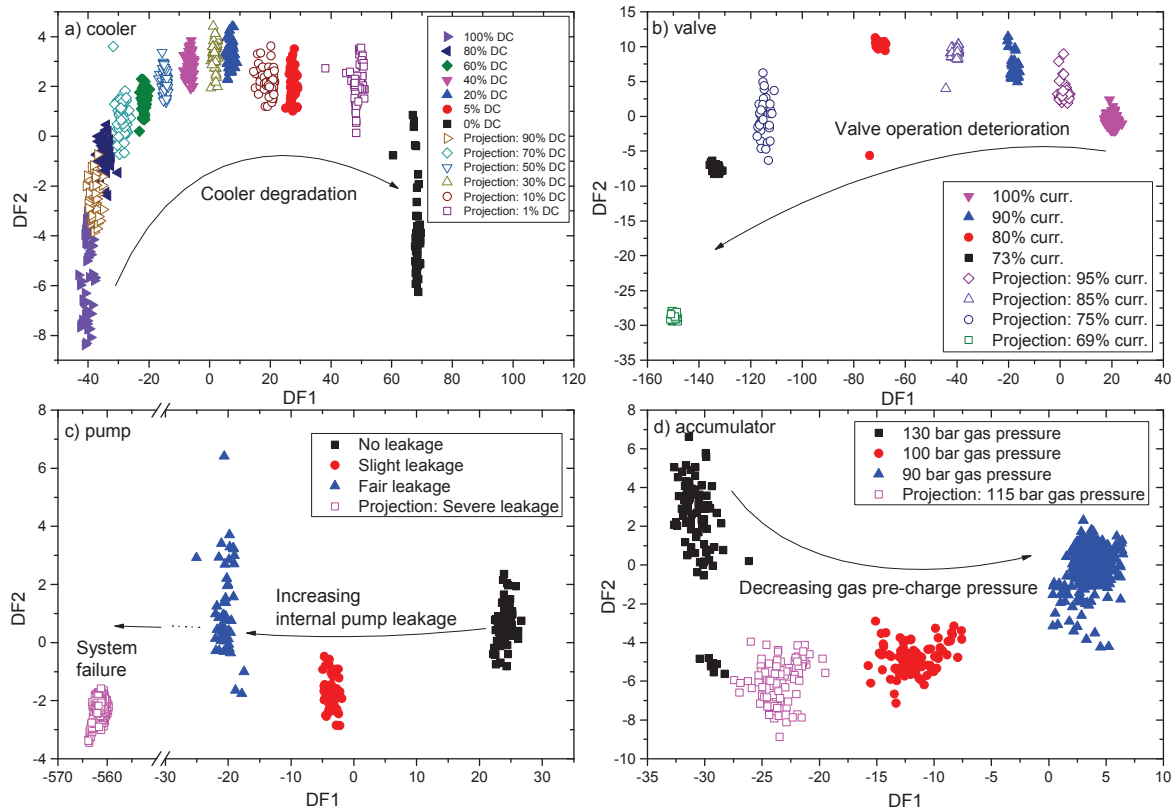


Fig 2. LDA space of typical hydraulic component faults (a) cooler degradation, (b) valve operation deterioration, (c) internal pump leakage, and (d) gas leakage of accumulator using 20 features with trained and projected fault states.

monitoring are median values of virtual sensors cooling efficiency and cooling power detecting an efficiency reduction of less than 1 % simulated by a duty-cycle (DC) of 90 % of the fan installed at cooler leading to a long-term fluid temperature increase of 0.5 °C. A duty-cycle of 0 % corresponds to a relative decline of 73 % cooling power which results in oil temperatures higher than 80 °C. The monitoring of directional valve is based on pressure sensors installed before and after the valve analyzing the transient phase during valve operation using distribution (e.g. variance, skewness, and kurtosis) and signal shape (slope) functions. Here, the movement of spool is impeded by reducing the control current simulating an increased friction e.g. caused by substantial particle contamination of fluid. Even slight variations of current (< 2 %) can be detected. Another analyzed fault type is internal pump leakage imitated by orifices bypassing high and low pressure ports of the pump. The leakage levels are 3.3 % (slight), 4.6 % (fair) of nominal pump flow rate. Furthermore, measurements of a hydraulic “short-circuiting” of pump were projected (severe leakage) by directly connecting the high pressure port to the tank. The features used for pump monitoring are median values of flow, system efficiency (virtual sensor), and pressures of static load phases. Especially piston-type accumulators are affected by gas leakage resulting in a

decrease of pre-charge pressure and a failure of operation in the long-term. The different pre-charge pressure levels of accumulator (fig. 2d) can be quantified by DF1, correctly projecting the intermediate state (115 bar) in LDA space. The significant features for accumulator monitoring are characteristics such as slope, variance, skewness, and kurtosis of transient load phases of power, pressure, and flow sensors.

In a further measurement, a constant working cycle with combinations of previously shown fault types including three cooling efficiencies (100 %, 20 %, and 3 % fan duty cycle), three valve states (100 %, 85 %, and 73 % control current), three pump leakage levels (inactive, slight and fair leakage) and four accumulator pre-charge pressures (130, 115, 100, and 90 bar) was analyzed within a fluid temperature interval from approx. 45 °C to 65 °C. In this measurement sensor faults are superimposed: In case of “constant offset”, four fault grades of pressure sensor PS1 are studied (1, 2, 5, 10 bar) as exemplarily shown in fig. 3a. Fig 3b illustrates the “baseline drift” of PS1 with different drift rates ranging from 0.5 to 5.0‰ per hour affecting the mean cycle value simulating a cross-sensitivity such as temperature change. Note that there is an inherent long-term change of the mean pressure in the measurement itself (especially

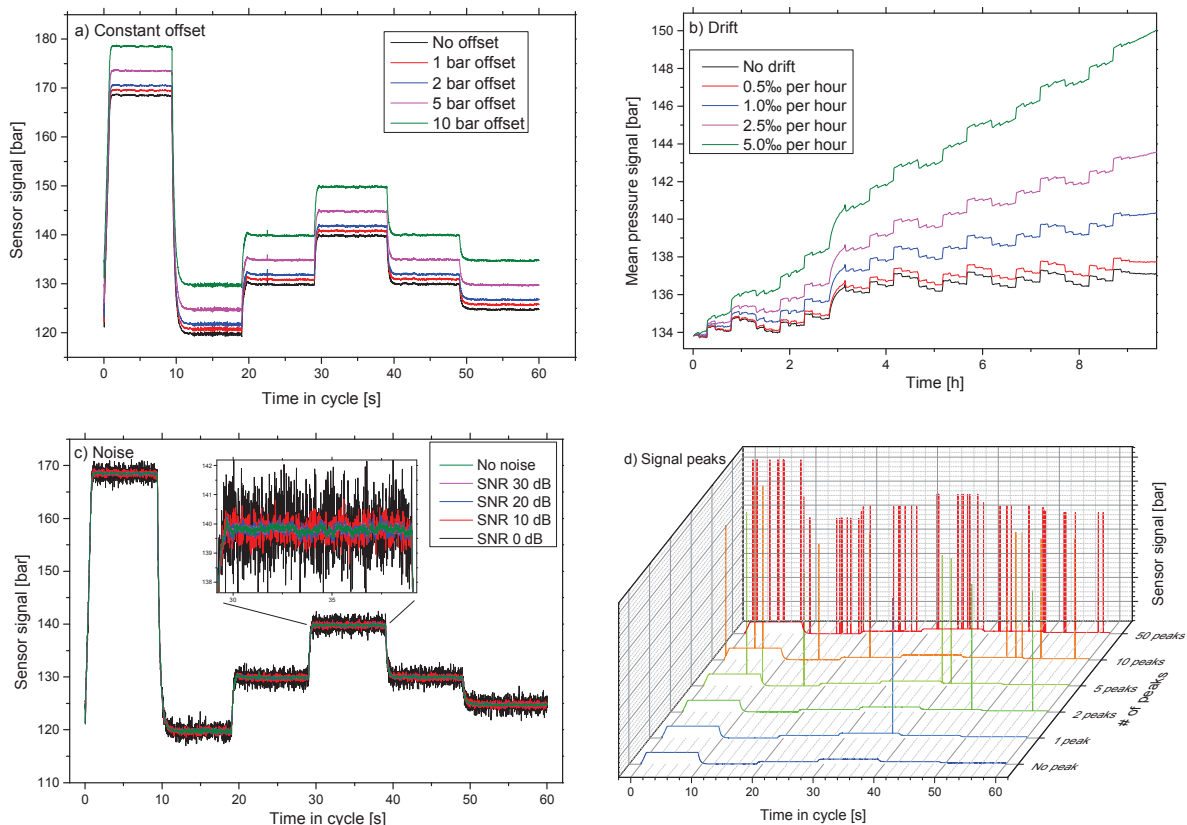


Fig. 3. Pressure sensor signals over operating cycle injecting the sensor faults (a) constant offset, (b) baseline drift, (c) noise, and (d) peak superposition with different grades of severity.

around hour 3) which makes it difficult to differentiate between the different drift rates with an isolated sensor analysis. Furthermore, fig. 3c shows an artificially deteriorated sensor signal using Gaussian white noise with different amplitudes generating 30 dB, 20 dB, 10 dB and 0 dB signal-to-noise ratios (based on a normalized signal). Another sensor fault studied is the appearance of sharp short signal peaks with large amplitudes (fig. 3d) which do not reflect realistic measurements. Here the number of peaks which are randomly placed in the working cycle ranges from 1 to 50.

Now the performance of CMS for hydraulic components is tested while the signals of most significant sensors are affected by drift and noise faults with different grades of severity (fig. 4). In general, there are considerable variations in the decline of detection rate dependent on hydraulic component. While the cooler monitoring is not affected at all by these sensors faults (constant 100 % correct classifications), the valve monitoring detection rate especially decreases in presence of high noise amplitudes due to the use of susceptible transient features (fig. 4b). Compared to cooler and valve condition monitoring, pump and

accumulator are highly prone to sensor disturbances. The pump leakage detection (fig. 4c) particularly degrades with increasing drift rates which cause significant shifts of the used median features. In case of accumulator (fig. 4d) only a slow drift rate of 0.5 ‰ per hour induces a sharp decline of detection rate from 95 % to 42 %, also barely noticeable noise amplitudes (30 dB SNR) degrade the classification by more than 20 %. The validity of sensor signals consequently is an issue of importance which has to be considered in the condition monitoring system ensuring a robust and reliable analysis.

In the following analysis, sensor faults are applied to the single pressure sensor PS1 and classification target values are the grades of severity using the same measurement dataset as in fig. 4. The automated feature extraction and selection method corresponds to the hydraulic component monitoring (fig. 2), though the feature domains are different. In case of offset and drift disturbances, we use a cascaded feature extraction first computing median ratio values over the whole cycle of different sensors in the hydraulic system and subsequently analyzing the time-series of

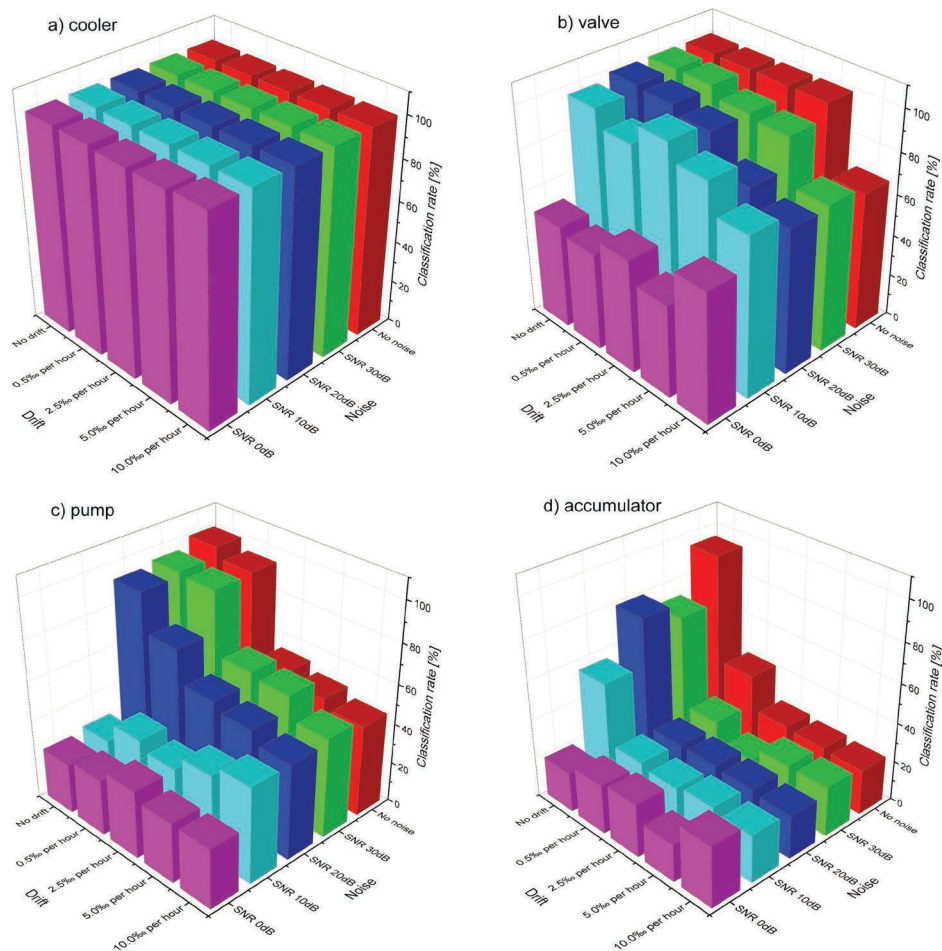


Fig. 4. Influence of sensor faults noise and drift affecting the relevant sensors on hydraulic fault detection performance for cooler (a), valve (b), pump (c), and accumulator (d) monitoring.

previously obtained ratios within a window of 60 minutes. For noise detection, features are extracted from 17x17 cyclewise correlation matrix of sensor net with 136 independent pairwise linear correlation coefficients which are also used for time-series feature extraction (60 min). The peak detection is based on signal shape and statistical moments similar to the feature domain of hydraulic fault detection. Fig. 5 shows the LDA spaces of different sensor disturbance types using several fault grades for training and the other grades for evaluation. The constant offset (fig. 5a) can be detected for slight offset values in the range of 1 bar (which corresponds to an error of 0.4 % of full scale) even though there is no clear class separation due to inherent signal ratio variations, and intermediate fault grades (2 bar, 10 bar) are properly projected. The drift detection (fig. 5b) exhibits a good class separation discriminating very slow drift rates (0.5 ‰ per hour) and normal sensor operation in typical application sensor data. Just as well, the statistical model for drift recognition is able to correctly interpolate fault grades not considered in training (1.5 ‰ and 5.0 ‰ per hour). In case of noise monitoring (fig. 5c), the detection limit based on correlation features is 20 dB signal-to-noise ratio which is a good result exempt from accumulator monitoring where 30 dB SNR degrades noticeably the classification performance. Not only noise sensor faults can be identified but also sensor wiring problems

such as cable break simulated by freezing the sensor value to zero with additional noise which results in DF1 values far in the negative range. Also the number of induced signal peaks (fig. 5d) is correctly quantified and the trained LDA can be successfully applied to test data. In summary, all studied sensor fault types can be identified with the presented approach and feature domains allowing and fault grade estimation with DF1 values. Here, only the presence of a single sensor disturbance was analyzed, the determination of several faulty sensors occurring simultaneously is aim of future work. Based on the shown sensor fault classification (fig. 5) and the susceptibility of CMS to sensor faults (fig. 4), limit values for DF1 pointing to sensor malfunction can be defined to decide whether the sensor data is invalid and should be ignored in actual diagnosis. In this case, the training data set has to be adapted by excluding the data of the concerned sensor and performing a re-training of feature selection and LDA to find the next best features for the specific component monitoring and compensate the sensor fault. In fig. 6, the ability of sensor network to compensate failures of most significant sensors is studied: Due to partially redundant sensor signals, the failure of up to 5 significant sensors can be compensated with acceptable classification rates (cooler, valve, pump) in the range of 90 %. Comparison between the two supervised classification methods LDA and

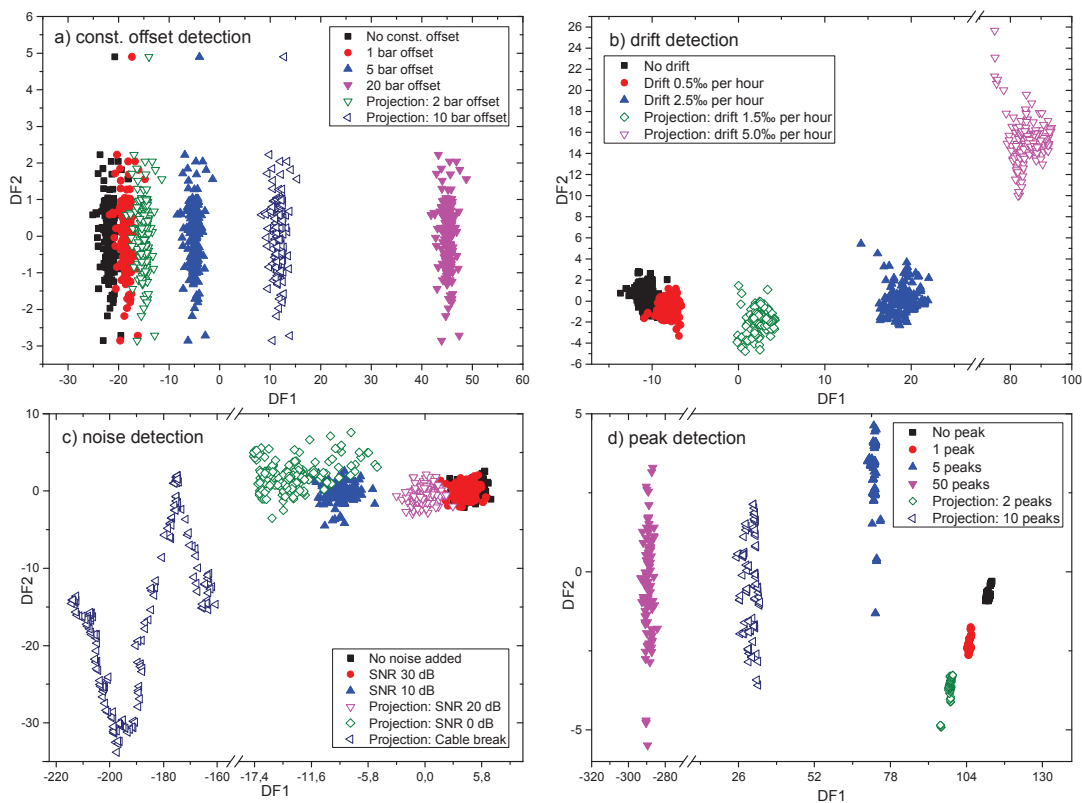


Fig. 5. LDA plots for sensor fault monitoring of (a) constant offset, (b) baseline drift, (c) noise, and (d) peak superposition each with trained and projected states for validation.

artificial neural network (ANN, multilayer perceptron with 10 hidden layers) shows slightly higher detection rates (up to 20 %) for ANN, especially for challenging classification data with many excluded sensors.

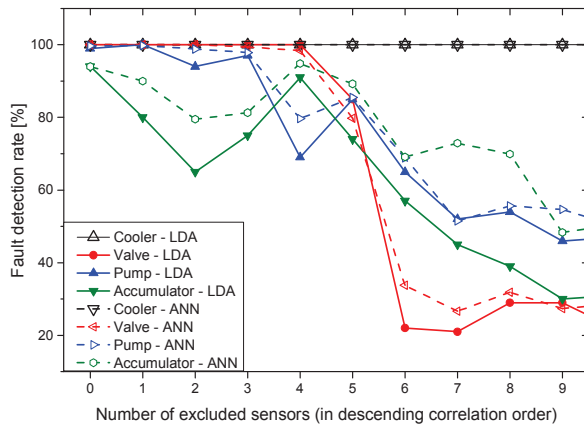


Fig. 6. Classification rate of hydraulic system fault vs. number of excluded sensors for LDA with  $k$ -nearest-neighbor ( $k = 3$ ) classifier and for a multilayer perceptron with 10 hidden layers.

## Conclusion

The presented condition monitoring approach covers a wide range of detectable fault types such as faults related to the hydraulic system as well as different kinds of sensor malfunctions. Automated feature extraction, selection and supervised learning were proven to be suitable for the identification and severity grade estimation of typical sensor faults such as constant offset (detection limit 0.4 % error FS), drift (detection limit 0.5 ‰ per hour), noise (detection limit 20 dB SNR) and signal peaks (single peak detection limit). Therefore, time-series interrelation features of the sensors in network are used like median ratios and pairwise correlations. In case of a sensor fault, the affected sensor can be identified automatically and substituted by the sensor network considerably improving the overall reliability of the CM system. By exploiting hidden signal redundancies using correlation criteria, it has been demonstrated that the system compensates the failure of up to 5 sensors including significant information with marginal degradation of classification performance.

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